

1 Title: Impact of land use change to *Jatropha* bioenergy plantations on biomass and soil carbon
2 stocks: a field study in Mali

3 Running title: Carbon stock impact of *Jatropha* in Mali

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Abstract

Small-scale *Jatropha* cultivation and biodiesel production has the potential of contributing to local development, energy security and greenhouse gas (GHG) mitigation. In recent years however, the GHG mitigation potential of biofuel crops is heavily disputed due to the occurrence of a carbon debt, caused by CO₂ emissions from biomass and soil after land use change (LUC). Most published carbon footprint studies of *Jatropha* report modeled results based on a very limited database. In particular, little empirical data exist on the effects of *Jatropha* on biomass and soil C stocks. In this study we used field data to quantify these C pools in three land uses in Mali, i.e. *Jatropha* plantations, annual cropland and fallow land, to estimate both the *Jatropha* C debt and its C sequestration potential. Four years old *Jatropha* plantations hold on average 2.3 Mg C ha⁻¹ in their above- and belowground woody biomass, which is considerably lower compared to results from other regions. This can be explained by the adverse growing conditions and poor local management. No significant soil organic carbon (SOC) sequestration could be demonstrated after four years of cultivation. While the conversion of cropland to *Jatropha* does not entail significant C losses, the replacement of fallow land results in an average C debt of 34.7 Mg C ha⁻¹, mainly caused by biomass removal (73%). Retaining native savannah woodland trees on the field during LUC and improved crop management focusing on SOC conservation can play an important role in reducing *Jatropha*'s C debt. Although planting *Jatropha* on degraded, carbon-poor cropland results in a limited C debt, the low biomass production and seed yield attained on these lands reduce *Jatropha*'s potential to sequester C and replace fossil fuels. Therefore, future research should mainly focus on increasing *Jatropha*'s crop productivity in these degraded lands.

1 **Introduction**

2 The current demand for reducing greenhouse gas (GHG) emissions, in combination with the
3 depletion of fossil fuel reserves and the growing concern on energy security and independence
4 (Verrastro & Ladislaw, 2007) led to a growing interest in the production of liquid biofuels. In
5 this context, *Jatropha curcas* L., a tropical deciduous shrub, was claimed to provide high oil
6 yields on degraded lands with minimal nutrient and management inputs, thereby avoiding
7 competition with food production (Achten *et al.*, 2010a). However, more recent research has
8 come to disprove these early claims (van Eijck *et al.*, 2014) and a large fraction of *Jatropha*
9 initiatives failed because of low yields due to insufficient agronomic knowledge (Nielsen *et*
10 *al.*, 2013; Singh *et al.*, 2014).

11 Despite this negative experience, small-scale *Jatropha* cultivation can still play an important
12 role as a local energy source in low income areas (e.g. Sahel region), thereby contributing to
13 local development, energy security and GHG mitigation (Achten *et al.*, 2010b; Nielsen *et al.*,
14 2013, Muys *et al.*, 2014). The latter can be attained through i) C sequestration in *Jatropha*
15 biomass and soil during cultivation and ii) the production of biodiesel to replace fossil fuels
16 (van Rooijen, 2014). Besides the well-known environmental benefits, GHG mitigation can
17 boost the economic viability of *Jatropha* projects through C trading mechanisms (Nielsen *et*
18 *al.*, 2013; van Rooijen, 2014).

19 In recent years however, the GHG mitigation potential of crop-based liquid biofuels has been
20 heavily debated. In particular, land use change (LUC) due to biofuel crop establishment may
21 create initial losses in soil and biomass C stocks as a result of increased microbial
22 decomposition and burning. This C debt can have a significant negative impact on the
23 biofuel's GHG balance (Fargione *et al.*, 2008). In the case of *Jatropha*, multiple studies have
24 been made addressing this particular issue (Struijs, 2008; Bailis & Baka, 2010; Achten &

1 Verchot, 2011; Bailis & McCarty, 2011; Romijn, 2011; Rasmussen *et al.*, 2012; Achten *et al.*,
 2 2013). A wide variety of C debts and associated repayment times have been reported, the
 3 latter ranging from a few years up to multiple centuries. The repayment time depends i) on the
 4 C debt created (i.e. the land cover which is replaced by *Jatropha*) and ii) on the life cycle CO₂
 5 reduction potential of the biofuel substituting fossil fuel (kg CO₂ ha⁻¹ year⁻¹), indicating a high
 6 dependency on local conditions. However, for both aspects data quality (measurements versus
 7 modeled estimation) and assumptions (e.g. assumed yields, fertilizer use and field emissions)
 8 also play an important role. Most studies conclude that GHG mitigation through *Jatropha*
 9 production can only be achieved when it is planted on degraded lands poor in C stocks
 10 (Achten & Verchot, 2011; Romijn, 2011). However, the accuracy of these earlier analyses can
 11 be questioned, since frequent use is made of default values and non-validated estimates of
 12 seed yield and C stocks, which are in turn based on little empirical data. This practice can give
 13 rise to significant errors in the analysis of *Jatropha* C debts, as the magnitude and dynamics of
 14 C stocks depend strongly on local biophysical conditions (Powers *et al.*, 2011). In addition,
 15 assumptions are frequently made which have not been verified in the field (e.g. soil organic
 16 carbon (SOC) remaining constant upon LUC), adding more uncertainty to currently available
 17 estimates. Therefore, there is an urgent need for more empirical data on *Jatropha* C stocks
 18 compared to other LUs in order to verify the results reported by the studies mentioned above
 19 (Romijn, 2011; Rasmussen *et al.*, 2012).

20 To answer this call for more empirical data, a field study was set up in Mali with the aim of
 21 quantifying soil and biomass C stocks in small-scale *Jatropha* plantations and comparing these
 22 with other LUs. Mali is one of the few sub-Saharan countries explicitly encouraging *Jatropha*
 23 cultivation in its policy, aiming for a 20% replacement of diesel by *Jatropha* oil by 2023
 24 (Favretto *et al.*, 2012). Whereas traditionally *Jatropha* was mainly grown as a living fence for
 25 local soap production, its cultivation was recently redirected towards small-scale plantations

1 for local energy production. By 2011, this resulted in a total area of almost 5000 ha of
2 Jatropha, mainly situated in the provinces of Koulikoro, Sikasso and Kayes (Favretto *et al.*,
3 2012). The gathered C stock data was used to estimate the C debt and associated repayment
4 time of Jatropha-based biofuel and soap production in Mali.

1 **Materials and methods**

2 **General setup and study area**

3 The impact of LUC on biomass and soil C stocks was studied using the C stock change
 4 method (UNFCCC, 2009), in which C stocks prior to and after LUC are compared. Since a
 5 monitoring study was practically unfeasible, we applied the ergodic principle, i.e. presenting
 6 assumed changes over time by comparing different LU classes in space at one point in time. C
 7 stocks were measured during summer 2011 in 18 triplets of neighboring fields, each
 8 comparing *Jatropha*, cropland and fallow LU, thereby assuming that all factors other than the
 9 effect of LU are constant within each triplet (spatially paired site design; Conteh, 1999).
 10 Sampling sites were equally divided over two distinct ecoregions in Mali: Koulikoro, in the
 11 central part of the country and Garalo, a smaller village in the Southern province of Sikasso
 12 (Figure 1). Koulikoro is situated in the Sudanese agro-ecological zone, which is characterized
 13 by a semi-arid climate (mean annual temperature (MAT) of 27.6°C and mean annual
 14 precipitation (MAP) of 815 mm; New_LocClim (FAO, Rome, Italy)), dry woodlands (Magin,
 15 2011) and farming systems integrating sedentary livestock-rearing with crop production
 16 (Coulibaly, 2003). Garalo, belonging to the North-Guinean zone, has a sub-humid climate
 17 (MAT = 27.0°C, MAP = 1142 mm; New_LocClim (FAO, Rome, Italy)) giving rise to a more
 18 lush savannah vegetation and a larger diversity of crops (Coulibaly, 2003). Highly degraded
 19 soils dominate the landscape in Garalo (Ferric and Plinthic Acrisol), whereas soils in
 20 Koulikoro are more productive due to the deposition of Saharan dust (Lixisol) (FAO, 2007).
 21 Within each ecoregion, a representative selection of *Jatropha* fields was made, taking into
 22 account various factors as plantation age, management factors (e.g. plant spacing,
 23 intercropping), soil conditions and presence of neighboring cropland and fallow land. *Jatropha*
 24 plantations were always part of an outgrowers production system managed by a private
 25 company (Koulikoro) or local NGO (Garalo).

1 **Data collection**

2 General information on the history and management of each field was gathered using a brief,
3 semi-structured interview with the field's owner. Exact field locations and surface areas were
4 recorded using GPS.

5 *Biomass carbon*

6 Only long term C pools, i.e. perennial shrubs and trees, were included in the estimation of
7 biomass C. In order to determine *Jatropha* biomass, an allometric equation was first derived
8 from destructive measurements on a representative sample of 46 *Jatropha* trees originating
9 from within the selected fields and five trees from an additional field in Koulikoro. After
10 measuring tree dimensions (i.e. basal stem diameter, tree height, crown diameter in two
11 perpendicular directions, number and diameter of primary branches), the trees were cut down
12 and their woody aboveground biomass (excluding leaves; wAGB) was measured fresh on the
13 field. Subsequently, representative samples of stem and branches were taken, weighed, dried
14 until constant weight (105°C) and weighed again to calculate the total dry weight of wAGB
15 per tree. Nonlinear regression analysis was used to find the most suitable allometric relation.
16 Using the selected equation (see section 3.3.1), *Jatropha* wAGB was then estimated in three
17 square plots per field, each containing nine healthy and representative *Jatropha* trees, and
18 finally expressed in Mg ha^{-1} using the plot's surface area. Allometric equations for other tree
19 species and shrubs were obtained from literature (see Box 1). In *Jatropha* fields and annual
20 cropland, all mature trees and shrubs other than *Jatropha* were measured individually, whereas
21 a nested sampling design was applied in fallow land, consisting of one 10×10m plot in one
22 20×20m plot. All trees with a stem diameter exceeding 6 cm were measured in the large plot,
23 while other trees and shrubs were only appraised in the small plot.

24

Box 1: Literature based allometric equations for aboveground biomass

1) Shea tree (*Vitellaria paradoxa* C.F. Gaertner):

$$AGB = ((a \times G) - b) \times wD \quad [\text{Eq. 1}]$$

(Nouvellet *et al.*, 2006)

with AGB = aboveground biomass [Mg] of an individual tree, G = girth at breast height [m], wD = wood density (= 0.85 Mg m⁻³; Louppe, 1994); a = 2.4612 (DBH > 0.63 cm) or 0.6868 (DBH < 0.63 cm); b = 1.5130 (DBH > 0.63 cm) or 0.1314 (DBH < 0.63 cm).

2) Trees of dry tropical forest (generic):

$$AGB = \exp[-1.996 + (2.32 \times \ln(DBH))] \times 10^{-3} \quad [\text{Eq. 2}]$$

(UNFCCC, 2006)

with AGB = aboveground biomass [Mg]; DBH = diameter at breast height [cm].

3) Shrubs (generic):

$$AGB = \sum \left(\frac{\pi}{3} \times BA_i \times H \times wD \right) \quad [\text{Eq.3}]$$

(UNFCCC, 2006)

with AGB = aboveground biomass [Mg]; BA_i = basal area of branch i [m²]; H = height of shrub [m]; wD = wood density (= 0.62 Mg m⁻³; UNFCCC, 2006).

Belowground biomass (BGB) was estimated using root-to-shoot ratios. A region specific value was obtained for *Jatropha* through destructive measurements of 17 *Jatropha* trees. After measuring plant dimensions, these trees were uprooted and their dry BGB was determined in a similar way as described above for wAGB. For other species, literature values were used, i.e. 0.28 and 0.56 for trees in subtropical dry forest with more and less than 20 Mg AGB ha⁻¹ respectively and 0.32 for scrubland in subtropical steppe (Paustian *et al.*, 2006). The resulting biomass estimates were converted to C stocks in Mg ha⁻¹ using C content data from literature:

1 0.46 for *Jatropha* (based on Firdaus *et al.*, 2010; Torres *et al.*, 2011; Firdaus & Husni, 2012;
2 Hellings *et al.*, 2012) and 0.50 for other tree species (Paustian *et al.*, 2006).

3 *Soil carbon*

4 Four soil layers were sampled in each field, i.e. 0-5, 5-10, 10-20 and 20-30 cm, for which both
5 SOC concentration and bulk density were determined to calculate SOC stocks in Mg ha⁻¹ (see
6 Eq. 4).

$$7 \quad SOC_{stock} = \frac{SOC}{100} \times BD \times \left(1 - \frac{G}{100}\right) \times d \times 10 \quad [Eq. 4]$$

8 with SOC_{stock} = SOC stock [Mg ha⁻¹]; SOC = SOC mass percentage [g C (100 g soil)⁻¹]; BD = bulk
9 density [kg m⁻³]; G = mass percentage of coarse fragments (> 2 mm) [g (100 g soil)⁻¹] and d = depth of
10 soil layer [m].

11 *Jatropha* fields were sampled most intensively to study the spatial variability of SOC (3 plots
12 × 2 sampling locations per field; Figure 2). In each *Jatropha* plot sample A₁ was mixed with
13 B₁ and A₂ with B₂, yielding two BD samples and two SOC samples for each soil layer per
14 plot. In cropland, three samples were taken per field for both SOC and BD per depth. In each
15 fallow plot (10×10 m), six SOC samples, situated on three transects, were taken. These
16 samples were bulked per transect and depth, yielding three SOC samples and one BD sample
17 per depth.

18 SOC samples were air-dried, passed through a 2 mm sieve, ground and homogenized with a
19 mortar, oven-dried at 60°C and analyzed using the automated dry combustion method (Carlo
20 Erba 1110 Elemental Analyzer). As nitrogen levels are determined in the same analysis, these
21 results were also used to calculate the C/N ratio. BD was determined using the gravimetric
22 method, i.e. drying samples with a fixed volume of 100 cm³ overnight (105°C) and weighing
23 them on a precision balance. These samples were then passed through a 2 mm sieve to

1 calculate the mass fraction of gravel in the soil. Finally, soil texture was measured through
 2 laser diffraction analysis (Beckman Coulter – LS 13 320 Laser Diffraction Particle Size
 3 Analyzer) and pH-H₂O was determined using an electrode (van Reeuwijk, 2002) on one
 4 mixed sample per field.

5 **Data analysis**

6 Throughout this study, statistical analyses were conducted in SPSS 17.0 (IBM, Chicago,
 7 USA) and a significance level (α) of 0.05 was used, unless stated otherwise. Whenever
 8 appropriate, the data were lognormal transformed to meet the criteria of parametric statistical
 9 tests. In general, differences between LUs, soil types or ecoregions were assessed using
 10 ANOVA in combination with Tukey post-hoc tests. To determine the impact of LUC on SOC
 11 using all gathered data, mixed ANOVA was used in which LU was included as a fixed factor
 12 and a unique field ID as a random factor, nested in LU to account for subsampling. This
 13 analysis was conducted in SAS 9.3 (SAS Institute Inc., Cary, USA) using the MIXED
 14 procedure.

15 The total C debt was calculated as the difference between the total carbon stock (biomass +
 16 soil) of the previous land use and the total carbon stock of the *Jatropha* plantation at year 0
 17 (Fargione *et al.*, 2008). The latter was approximated by subtracting the amount of newly
 18 sequestered carbon in *Jatropha* biomass and soil from the total carbon stock measured in the
 19 *Jatropha* plantation. The associated repayment time, i.e. the time it takes before the initial C
 20 emissions are compensated through the substitution of fossil fuels by *Jatropha* biodiesel, is
 21 calculated by dividing the C debt by the yearly C reduction potential, which is in turn derived
 22 from the comparison of the global warming potential (GWP) of *Jatropha*-based biofuel with
 23 the GWP of the fossil fuel reference system. The GWP of both fuels are obtained from a life
 24 cycle analysis (LCA) conducted in Koulikoro (Almeida *et al.*, 2014).

1 **Results**

2 **Description of fields**

3 With the exception of one missing fallow land in Koulikoro, nine fields of each LU type were
4 visited in each ecoregion. The *Jatropha* plantations under study are 3-5 years old and most
5 frequently established on former cropland. In Koulikoro, *Jatropha* is always mixed with other
6 crops and wide planting distances of 5×2 m are frequently used, whereas in Garalo
7 intercropping is rare and smaller planting distances of 3×3 and 4×3 m are applied.
8 Furthermore, *Jatropha* fields are generally ploughed once a year and receive no irrigation or
9 pruning. Cropland most frequently consists of monocultures and is ploughed once a year. In
10 both ecoregions, crops are mainly cultivated in agroforestry parkland systems, where some
11 mature, widely spaced trees (e.g. *Vitellaria paradoxa* C.F. Gaertner, *Parkia biglobosa* (Jacq.)
12 R. Br. ex G. Don and *Mangifera indica* L.) are kept on the field. These provide nutrients to
13 the crops and an extra income to the farmer through the selling of non-wood tree products like
14 mango fruit and Shea nuts. Major crops are corn, cotton and sesame in Garalo and corn,
15 sorghum and millet in Koulikoro. Fallow vegetation consists in both ecoregions of bushes
16 combined with mature trees up to 15 m high. Detailed metadata for each field can be found in
17 Table S1.1 in the Supporting information (part S1). Examples of the three LUs are presented
18 in Figure S1.1 in the Supporting information (part S1).

19 **Soil conditions**

20 In both ecoregions two soil types can be distinguished based on hierarchical cluster analysis:
21 sandy versus loamy soils in Koulikoro and gravel versus non-gravel soils in Garalo. The mean
22 values of the clustering variables for these soil types are presented in Table 1. The loamy soils
23 in Koulikoro closely resemble the non-gravel soils in Garalo. The soil variables given in
24 Table 1 were compared between the three LUs for each ecoregion separately using ANOVA
25 analysis. No significant differences were found between the LUs over all triplets of fields (not

shown). Although the similarity in soil conditions between individual fields within each triplet cannot be statistically assessed (only one measurement of soil texture available per field), this outcome does provide a good indication that field selection meets the criteria of a paired site sampling design.

Biomass carbon

Jatropha biomass, allometric relation and root-to-shoot ratio

A summary of plant dimensions and biomass measurements of individual *Jatropha* trees is given in Table 2. Nonlinear regression analysis resulted in the crown area (in m²) to be selected as the best predicting variable for wAGB ($R^2 = 0.803$; see Eq. 5 and Figure 3). The average root-to-shoot ratio for *Jatropha* amounts to 0.48 (Table 2).

$$wAGB = 0.897 \times CA^{1.244} \quad [\text{Eq. 5}]$$

with wAGB = woody aboveground biomass in kg and CA = crown area in m².

Biomass carbon stocks in the different land uses

Mature trees, although low in abundance, represent the largest share of biomass C in all LU types (Figure 4). On average, only 18.6 % of the total biomass stock in a *Jatropha* plantation is in *Jatropha* trees. The partitioning of the biomass C stock among the different vegetation elements is similar in both ecoregions (not shown), with the exception of the fraction of shrub biomass in fallow land being higher in Garalo (31.4 %) compared to Koulikoro (11.4 %).

LU has a pronounced effect on the biomass C stock in both ecoregions (Table 3). A significant difference was found between fallow and *Jatropha* on the one hand ($P=0.026$ for Garalo and $P=0.020$ for Koulikoro) and fallow and cropland on the other hand ($P=0.004$ for Garalo and $P=0.010$ for Koulikoro). Biomass C stocks in *Jatropha* plantations are not significantly different from those under annual cropland. This is explained by a similar

1 presence of mature trees in both LUs. Depending on the density and dimensions of these
2 scattered trees in the landscape, the variability in biomass C stocks within each LU is high,
3 implying that the impact of LUC is highly variable as well (see section 3.5).

4 **Soil carbon**

5 *Soil organic carbon concentrations*

6 SOC concentrations measured in Garalo generally show a logarithmic decrease with depth,
7 being most pronounced in fallow land, followed by Jatropha and cropland (Figure 5). In
8 Koulikoro, cultivated soils are found to be more homogeneous and are more depleted in
9 organic matter at the surface as compared to Garalo. The latter difference is only found
10 significant for Jatropha ($P=0.004$). SOC concentrations in fallow land are similar between the
11 two ecoregions. Although SOC concentrations are higher under fallow compared to cropland
12 and Jatropha in all soil layers (Figure 5), the difference is found to be only significant in the
13 upper 5 cm for Garalo and 10 cm for Koulikoro (see Table S2.1 in the Supporting
14 Information, part S2).

15 *Soil carbon stocks in the different land uses*

16 SOC stocks are found to follow the same trend as biomass C, i.e. being largest under fallow
17 and without significant differences between cropland and Jatropha (Table 3). The effect of
18 LUC is primarily visible in the upper soil layers (Figure 6).

19 *Spatial variability*

20 A paired t-test was conducted to look for significant differences in SOC between the two
21 sampling locations within Jatropha plantations, i.e. directly underneath the shrubs versus in
22 between the shrubs (Figure 2). A significant difference is only found for the third soil layer
23 (10-20 cm), where values are larger underneath the shrubs (4.72 Mg ha^{-1}) compared to
24 between the shrubs (4.35 Mg ha^{-1}).

Finally, the within field spatial variability of SOC, expressed by means of the coefficient of variation (CV), is compared to the between field variability (Table 4). Spatial variability is largest in fallow and lowest in *Jatropha* fields, but none of these differences are statistically significant. In all LUs, the within field CV varies widely between the different fields, making it difficult to estimate the number of samples needed for an accurate estimation of SOC stock in a particular LU. The variability between different fields is the largest source of variation, exceeding the local within field variability by a factor 2 to 3.

Total C stock, C debt and C repayment time

Total C stock differs significantly between fallow land and cultivated land, i.e. cropland and *Jatropha* (Figure 7a). The same trends were found for the two ecoregions (Table 3), which are therefore displayed together. In cropland and *Jatropha* fields, most C is stored in the soil, while in fallow land biomass is the dominant C pool. By subtracting the current C stock in *Jatropha* plantations (at year 4) from the C stock in another LU, the so-called remaining C debt is calculated, i.e. the fraction of the initial C debt (C debt at year 0 of the plantation's life cycle) that has not yet been compensated by C sequestration in the *Jatropha* plantation during the past four years (Figure 7b). On average, this remaining C debt amounts to $32.4 \text{ Mg C ha}^{-1}$ and $-3.1 \text{ Mg C ha}^{-1}$ for the conversion of fallow land and cropland respectively, the latter being not significantly different from zero. Based on the non-significant differences in SOC between cropland and *Jatropha* on the one hand and between the two sampling locations within *Jatropha* fields on the other hand, it can be assumed that SOC sequestration in a timeframe of four years is negligible, and consequently, the initial C debt can be approximated by the sum of the remaining C debt and the C stock in *Jatropha* biomass after four years. This results in an average initial C debt of $34.7 \text{ Mg C ha}^{-1}$ for fallow land. As can be seen from Figure 7a, this carbon debt can be mainly attributed to biomass removal prior to planting *Jatropha* (on average 73% of the total carbon debt is caused by the difference in

1 biomass C content). It should be noted that standard errors of total C stocks are large,
 2 resulting in a large variability of C debts (Figure 7b). For both LUCs under study, the C debt
 3 varies from highly positive to highly negative, depending on the local situation. All extreme
 4 cases (outliers in Figure 7b) can be explained by large differences in the presence of mature
 5 trees between the LUs.

6 The remaining C debt after four years of *Jatropha* cultivation can be further compensated
 7 through substitution of fossil fuels by the produced biodiesel. For this case study, an average
 8 biofuel C repayment rate of $0.09 \text{ Mg C ha}^{-1} \text{ year}^{-1}$ was estimated based on Almeida *et al.*
 9 (2014), assuming a seed yield of $0.6 \text{ Mg ha}^{-1} \text{ year}^{-1}$ (based on local observations). Hence, it
 10 would take on average 349 years of *Jatropha* cultivation and biodiesel production to repay the
 11 C debt created after fallow conversion. The calculated repayment time varies between 0 and
 12 1278 years, depending on the C debt. Instead of energy production, *Jatropha* oil can be
 13 diverted to the cosmetic industry or small scale soap production (Contran *et al.*, 2013), a very
 14 attractive practice to smallholders for its simplicity and profitability. Based on the LCA model
 15 of Almeida *et al.* (2014), the ratio of materials stated in Contran *et al.* (2013) and assuming
 16 that the reaction is heated with fuel wood, the global warming potential (GWP) of *Jatropha*-
 17 based soap production in Koulikoro would amount to $1.2 \text{ kg CO}_2 \text{ eq kg}^{-1} \text{ soap}$. The GWP of
 18 soaps present in ecoinvent v3 database (The Swiss Centre for Life Cycle Inventories,
 19 Switzerland) is on average $5.6 \text{ kg CO}_2 \text{ eq kg}^{-1} \text{ soap}$. Hence, with soap production, the average
 20 C debt here reported would be repaid within 256 years (range: 0 – 938 years, depending on C
 21 debt).

Discussion

Biomass carbon in *Jatropha* plantations

Allometric relations based on stem diameter are most frequently used in literature to estimate the aboveground biomass of *Jatropha* (Ghezehei *et al.*, 2009; Achten *et al.*, 2010c; Firdaus & Husni, 2012; Hellings *et al.*, 2012; Bayen *et al.*, 2015). However, due to the specific tree architecture of *Jatropha*, i.e. branching close to the soil surface, stem diameter is often difficult to measure. In this study, crown area was found to be the best alternative to predict wAGB. The use of this predictor variable should however be restricted to cases where there is no pruning and canopy closure is not yet reached, since these factors highly influence crown dimensions. This shows that the allometric relation to be used for *Jatropha* biomass estimation should be both location- and management-specific. The potential sources of error mentioned above can be partly avoided in future allometric relations by using both stem and crown diameter simultaneously.

The average root-to-shoot ratio observed in this study (0.48) is higher compared to the value of 0.32 reported by Hellings *et al.* (2012) in similar climatic conditions (Northern Tanzania), but agrees well with the value of 0.51 found by Torres *et al.* (2011) for a humid climate in Brazil, both for a similar plant age. Hence, caution should be exercised when using any of these values as a default root-to-shoot ratio for *Jatropha* in future studies, as this plant characteristic is not only affected by climate, but also by local soil conditions (cf. Table 2: largest root biomass found in stone-free, coarse-textured soils). As most manual measurements of BGB were conducted in plantations on gravel soils, the average root-to-shoot ratio was likely to be underestimated.

Woody biomass stocks for 4 year old *Jatropha* plantations found in this study (on average 5.04 Mg ha⁻¹) agree well with the average value of 3.9 Mg ha⁻¹ reported by a study under

1 similar environmental conditions in Burkina Faso (Bayen *et al.*, 2015), but are at the lower
 2 end of the range between 9 and 28 Mg ha⁻¹ given in literature for various other locations and
 3 planting densities (Reinhardt *et al.*, 2007; Firdaus *et al.*, 2010; Bailis & McCarty, 2011;
 4 Torres *et al.*, 2011; Firdaus & Husni, 2012; Wani *et al.*, 2012), mainly owing to the relatively
 5 low amount of rainfall, poor soil conditions and lack of management in the sites at hand. It
 6 should be noted that plant mortality, although frequently observed (on average 30% in Garalo,
 7 mainly due to termite activity – data not shown), was not taken into account in the calculation
 8 of *Jatropha* biomass. Due to this simplification, the biomass results reported here represent the
 9 achievable biomass under current management practices and likely overestimate reality. In
 10 addition, leaf biomass was not considered in this study due to a lack of data. According to
 11 Bayen *et al.* (2015), leaf biomass represents on average 9% of the total AGB in *Jatropha*
 12 plantations.

13 **Soil carbon in *Jatropha* plantations**

14 The average SOC stock of 15.4 Mg ha⁻¹ in the top 30 cm soil profile of *Jatropha* plantations is
 15 lower compared to the value of 28.0 Mg ha⁻¹ reported for intensively managed *Jatropha*
 16 plantations in Burkina Faso (0-20 cm). This difference can be due to multiple factors,
 17 including climate (Jobbagy & Jackson, 2000), soil (Walker & Desanker, 2004; Takimoto *et al.*,
 18 2008) and management (mainly fertilization and tillage). Within our study, soil texture
 19 and gravel content explained most of the observed variability in SOC content between the
 20 different sites in Koulikoro and Garalo respectively (Table S2.2, Supporting Information part
 21 S2).

22 In general, SOC densities found in this study for cropland and fallow (respectively 16 and 22
 23 Mg ha⁻¹) agree well with the range of 10-30 Mg ha⁻¹ reported in similar environmental
 24 conditions (Tschakert *et al.*, 2004; Woomer *et al.*, 2004; Vagen *et al.*, 2005; Takimoto *et al.*,
 25 2008; Saiz *et al.*, 2012, Baumert *et al.*, 2014), but are slightly lower than the IPCC default

values for a tropical dry climate and low activity clay soils (20 and 35 Mg ha⁻¹ respectively; IPCC, 2006). The logarithmic relation between SOC and soil depth found in this study is confirmed by Jobbagy & Jackson (2000) and Walker & Desanker (2004) for various ecosystems around the globe.

Land use change impact and carbon sequestration by *Jatropha* plantations

Unlike C emissions from biomass, which are concentrated on the moment of land clearing, soil C emissions triggered by LUC can continue for multiple years due to the slow process of mineralization. This implies that, since the moment of LUC, two opposite C fluxes are simultaneously occurring in the *Jatropha* plantations: (1) continuous carbon emission from soil due to LUC and (2) building up of newly sequestered C in *Jatropha* woody biomass and soil (through litterfall and root decay). As only total C stocks were measured at year 0 and year 4, there is no way to strictly separate or quantify either of both fluxes (Conteh, 1999). Despite this drawback, some qualitative conclusions can still be made. The C debt created by converting cropland to *Jatropha* is generally low and is compensated within four years of *Jatropha* cultivation through C sequestration in *Jatropha* biomass. There is no significant SOC sequestration taking place within the first four years after *Jatropha* establishment, as there are no differences found in SOC content between *Jatropha* versus cropland nor between inter-row and within row locations in *Jatropha* plantations. This concurs with the findings of Baumert *et al.* (2014) in Burkina Faso, who used a similar paired sites approach on 4 years old *Jatropha* plantations, supplemented by ¹³C isotope measurements. However, multiple monitoring studies have demonstrated the positive effect of *Jatropha* cultivation on several soil properties, including SOC concentrations (Ogunwale *et al.*, 2008; Wani *et al.*, 2012; Srivastava *et al.*, 2014). In addition, Baumert *et al.* (2014) found a significantly larger SOC stock in 15-20 years old *Jatropha* living fences compared to surrounding cropland. Converting cropland to *Jatropha* thus may have a positive effect on SOC in the long term, but further monitoring is

1 required to confirm this trend for our case. Despite the negligible SOC sequestration
 2 estimated in our case study, SOC should not be disregarded from future C sequestration
 3 assessments of *Jatropha*. The high share of SOC in the total ecosystem C stock (38-64%,
 4 which agrees well with the range of reported values for West African savannah systems, i.e.
 5 30-90%; Tschakert *et al.*, 2004; Bationo *et al.*, 2007; Takimoto *et al.*, 2008) highlights the
 6 importance of this C pool and stresses the need for good crop management practices (Lal,
 7 2004) to avoid the loss of SOC during cultivation.

8 Converting fallow land to *Jatropha* has a clear negative impact on C stocks, especially
 9 biomass. Due to the protection of some tree species, such as *Vitellaria paradoxa* C.F.
 10 Gaertner, not all biomass is removed upon LUC. These few mature trees still make up the
 11 largest fraction of biomass after four years of *Jatropha* cultivation (Figure 4), which clearly
 12 shows their benefits from a GHG mitigation perspective. In addition to biomass C, on average
 13 8 Mg SOC ha⁻¹ (34%) is lost, which is at the lower end of the 20-60% range that is reported in
 14 literature for the conversion of natural land to cropland in similar conditions (Elberling *et al.*,
 15 2003; Walker & Desanker, 2004; Vagen *et al.*, 2005). The calculated total C debt of 34.7 Mg
 16 C ha⁻¹ is in line with the estimations of Achten *et al.* (2013) for the conversion of scrubland in
 17 semi-arid regions (24-28 Mg C ha⁻¹). Although being at the lower end of the wide range found
 18 for various biofuel crops in various ecosystems (0-940 Mg C ha⁻¹, Fargione *et al.*, 2008), it
 19 still represents a considerable environmental impact, as can be seen from the high repayment
 20 times, implying that the production of *Jatropha*-based biofuel (and soap) on fallow land under
 21 current practices in Mali is unsustainable. Rasmussen *et al.* (2012) found similar high
 22 repayment times (187 – 966 years) for a case study in Mozambique.

23 One could conclude that *Jatropha* plantations should only be established in degraded
 24 ecosystems with low initial biomass and soil C stocks, as is also recommended by e.g. Achten
 25 & Verchot (2011) and Romijn (2011). However, the initial C stocks in soil and biomass are

1 not the only factors that should be considered. Oil yields on degraded lands are often low,
 2 giving rise to low repayment rates and hence long repayment times. Low yields incentivize
 3 farmers to shift *Jatropha* to more productive lands, containing more C and thus giving rise to
 4 higher C debts. This trend may cause competition with food production and additional
 5 indirect LUCs, which again increase the C debt (Achten & Verchot, 2011). Hence, there is a
 6 need for more agronomical research aiming at stabilizing and optimizing *Jatropha* yields on
 7 degraded lands (Muys *et al.*, 2014). Still, in regions such as the Sahel, where rainfall is erratic,
 8 significant annual yield variations are expected, causing C repayment rates to be highly
 9 variable from one year to another.

10 In addition to repayment through substitution of fossil fuels, the remaining C debt at year 4
 11 can also be partially repaid by additional biomass growth in the *Jatropha* plantations (until the
 12 average biomass C stock of a rotation is reached; Achten *et al.*, 2013). This aspect is however
 13 not included in the calculation of the repayment time and most likely led to a slight
 14 overestimation of the latter. Furthermore, the calculation of C debt and associated repayment
 15 time neglects the fate of the C stocks in the biomass and soil of *Jatropha* plantations. While
 16 the C sequestered in biomass will be in principle released after the rotation ends, the evolution
 17 of SOC is unknown. This is an important factor to the repayment time because a trend of SOC
 18 sequestration may speed it up whilst a trend of loss will postpone it. Due to the lack of long
 19 term chronosequences, it is not possible to infer from the data here presented whether there is
 20 sequestration or loss of SOC throughout the lifetime of a *Jatropha* plantation. Literature data
 21 are also contradictory in this matter (e.g. Rasmussen *et al.*, 2012; Baumert *et al.*, 2014).

22 Finally, the repayment of the C debt is based on the assumption that there is 100%
 23 substitution of the fossil fuel in question. However, it is not always the case. It can be argued
 24 that in Mali the availability of a liquid fuel in a rural setting may instead add to the energy
 25 which is already consumed, given that the energy demand is increasing rapidly in this part of

1 the world (CIA, 2014). In this case, the actual repayment time would be even larger compared
 2 to the results reported here. Alternatively, Jatropha oil or biodiesel can replace fuel wood or
 3 charcoal, which are the most common fuels in the region, particularly in rural areas (Dasappa,
 4 2011). These fuels are obtained with negligible energy input. In case they are taken from
 5 sustainably managed woodland they are fully renewable and truly C neutral. In such case, the
 6 repayment would not exist.

7 **Concluding remarks**

8 Unlike many previous repayment time studies, this study is completely based on field data,
 9 which means that the analysis takes into account local specificities which can strongly
 10 influence the results and are often missed by modeling approaches (in this case: the dominant
 11 effect of retaining mature trees on the C debt). Our C stock data can therefore serve as
 12 valuable input for local Jatropha biofuel policy (Witcover *et al.*, 2013), Jatropha sustainability
 13 and C sequestration assessments (van Eijck *et al.*, 2014) and for estimating benefits from
 14 selling Jatropha-based C credits. Despite the large potential of semi-arid ecosystems to
 15 sequester SOC, C stock data in these regions remains particularly scarce (Saiz *et al.*, 2012).
 16 Our empirical database might therefore be used in a broader sense, e.g. for the calibration and
 17 validation of local LUC and SOC models (e.g. RothC, DayCent). However, the results
 18 presented here cannot be generalized without caution, since C dynamics are known to be
 19 highly dependent on environmental characteristics and local management factors (Powers *et*
 20 *al.*, 2011).

21 The spatially paired site design applied in this study only results in an approximation of the C
 22 dynamics under Jatropha. Monitoring studies using a stock change approach with a timespan
 23 of more than five years should be conducted on Jatropha plantations to further assess its
 24 biomass and soil C sequestration potential, as data on plantations older than five years is
 25 particularly scarce for this biofuel tree (Rasmussen *et al.*, 2012). In addition, there is a need

1 for more detailed studies that quantify the amount of C lost during LUC, e.g. using the eddy
2 covariance technique (Zenone *et al.*, 2013). Finally, future studies aiming at assessing the
3 effect of LU on SOC are advised to not only determine total SOC stocks, but also to look at
4 the different fractions of SOC (particulate organic matter (OM) versus stable OM; fractions of
5 humic acid, fulvic acid and humin), as this can provide valuable information regarding the
6 quantity of newly sequestered SOC (Guimarães *et al.*, 2013).

7 The high repayment times associated with the conversion of fallow land corroborate previous
8 concerns regarding the mitigation potential of *Jatropha* cultivation and biofuel production
9 (e.g. Rasmussen *et al.*, 2013; Achten *et al.*, 2013). In this paper we present an empirical
10 dataset to support these claims. It is however important to realize that *Jatropha* cultivation and
11 the associated LUC can have various other environmental, economic and social effects, either
12 positive or negative (Achten & Verchot, 2011). Research has pointed out positive effects on
13 the level of increased erosion control (Reubens *et al.*, 2011) and, on the societal side,
14 empowerment of rural communities involved in smallholder projects (van Eijck *et al.*, 2014).
15 Negative issues pertain mostly to failure in secure access to food and land as well as
16 economic unviability (van Eijck *et al.*, 2014; Skutch *et al.*, 2011). Hence, this study should be
17 seen as part of a larger complex story and should be complemented with a more holistic study
18 in which all these other impacts are included.

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- 1 **Supporting Information legends**
- 2 S1. Field metadata
- 3 S2. Soil organic carbon - additional results

Tables

Table 1: Mean values of edaphic variables for the soil types in both ecoregions (0-30 cm depth). Numbers in brackets represent the standard deviations and number of samples respectively. BD = bulk density.

Ecoregion	Soil class	# <i>Jatropha</i> fields assessed	% Sand	% Silt	% Clay	% Gravel	pH	% C	% N	BD (g cm ⁻³)
Koulikoro	Sandy	2	76.7 (7.4/6)	17.3 (5.8/6)	6.0 (2.1/6)	0.0 (0.0/6)	4.9 (0.4/6)	0.25 (0.11/24)	0.02 (0.01/24)	1.39 (0.05/20)
	Loamy	7	42.1 (7.00/20)	45.4 (5.7/20)	12.5 (3.5/20)	0.0 (0.0/20)	5.2 (0.5/20)	0.58 (0.25/73)	0.04 (0.02/73)	1.34 (0.07/72)
Garalo	Gravel	6	45.3 (11.4/18)	43.1 (7.2/18)	11.6 (4.9/18)	60.8 (14.3/18)	5.1 (0.4/18)	0.72 (0.20/72)	0.05 (0.01/72)	1.46 (0.09/60)
	Non-gravel	3	43.7 (12.5/9)	42.8 (6.4/9)	13.5 (7.7/9)	1.1 (2.2/9)	5.1 (0.2/9)	0.55 (0.19/36)	0.04 (0.01/36)	1.41 (0.1/30)

Table 2: Averages of measurements on individual *Jatropha* trees, grouped per ecoregion and soil type. Numbers in brackets represent standard deviation and number of samples respectively. “All” stands for the total mean and standard deviation calculated according to stratified random sampling design. wAGB = dry woody aboveground biomass per tree; BGB = dry belowground biomass per tree; R/S = root-to-shoot ratio.

Ecoregion	Soil type	Age (years)	Basal area (cm²)	Height (m)	# primary branches	Crown area (m²)	wAGB (kg)	BGB (kg)	R/S
Koulikoro	Loamy	3.45 (0.64/203)	91.98 (55.45/203)	1.70 (0.47/203)	4.16 (1.86/203)	2.97 (2.33/203)	2.55 (2.39/11)	2.14 (0.69/2)	0.46 (0.25/2)
	Sandy	3.50 (0.50/54)	127.57 (44.63/54)	1.80 (0.30/54)	5.69 (1.79/54)	3.43 (1.65/54)	2.67 (1.59/6)	2.35 (-/1)	0.59 (-/1)
Garalo	Gravel	4.55 (0.50/164)	120.25 (47.70/164)	1.89 (0.31/164)	4.14 (1.55/164)	3.09 (1.80/164)	3.58 (2.46/20)	1.57 (1.13/11)	0.44 (0.11/11)
	Non-gravel	4.00 (0.00/81)	99.43 (42.73/81)	1.74 (0.30/81)	4.26 (1.28/81)	2.57 (1.47/81)	3.28 (2.15/9)	2.69 (1.18/3)	0.61 (0.13/3)
All	-	3.90 (0.02/502)	106.25 (2.23/502)	1.78 (0.02/502)	4.33 (0.07/502)	3.00 (0.09/502)	3.16 (0.34/46)	1.88 (0.27/17)	0.48 (0.04/17)

Table 3: Average and standard deviation (within brackets) of *Jatropha* carbon stocks, total biomass carbon stocks, soil organic carbon stocks (SOC; 0 – 30 cm depth) and total carbon stocks grouped per ecoregion and land use. Significant differences between land uses per ecoregion are indicated using differing letters.

Ecoregion	Land use	Number of fields	<i>Jatropha</i> C (Mg ha ⁻¹)	Total biomass C (Mg ha ⁻¹)	SOC (Mg ha ⁻¹)	Total C (Mg ha ⁻¹)
Koulikoro	Cropland	9	-	7.97 ^a (7.73)	17.12 ^a (5.08)	25.09 ^a (10.92)
	<i>Jatropha</i>	9	2.68 (1.57)	11.26 ^a (7.65)	17.04 ^a (5.90)	28.30 ^a (12.67)
	Fallow	7	-	44.75 ^b (41.37)	28.08 ^a (16.06)	72.83 ^b (44.15)
Garalo	Cropland	9	-	9.74 ^a (6.28)	14.66 ^a (6.49)	24.40 ^a (10.93)
	<i>Jatropha</i>	9	2.01 (1.25)	13.70 ^a (9.41)	13.77 ^a (6.91)	27.47 ^a (15.02)
	Fallow	8	-	27.00 ^b (12.92)	20.61 ^a (10.23)	47.61 ^b (14.39)

Table 4: Coefficient of variation (CV) of soil organic carbon stocks within and between fields.

	Land use	Within field CV (%)					Between field CV (%)
		Mean	Standard deviation	Number of fields	Minimum	Maximum	
Koulikoro	Cropland	12.13	13.51	9	0.87	44.06	29.67
	Jatropha	10.27	6.36	9	0.82	19.41	34.60
	Fallow	21.40	12.97	7	8.75	43.20	53.46
Garalo	Cropland	22.59	17.17	9	3.54	62.74	44.27
	Jatropha	16.63	13.77	9	1.54	38.72	50.18
	Fallow	25.76	20.79	8	4.54	62.09	50.08

Figure legends

Figure 1: Location of study sites in relation to Köppen-Geiger climate classification. Bsh = Hot steppe climate and Aw = Tropical savannah climate.

Figure 2: Soil sampling locations per land use type (letters represent sampling transects, while numbers refer to sampling locations).

Figure 2: Allometric relation for woody dry aboveground biomass (wAGB) of individual *Jatropha* trees based on their crown area (CA).

Figure 3: Partitioning of total biomass carbon stock between aboveground and belowground biomass (AGB and BGB, respectively) and between the different vegetation elements for each land use type. BGB is read below the x axis and AGB above it. The stacked bars represent the vegetation elements: trees, shrubs and *Jatropha*.

Figure 4: Relation of soil organic carbon (SOC) density with soil depth in cropland, *Jatropha* and fallow for the Garalo (a) and Koulikoro (b) ecoregions. The error bars represent standard error of the mean.

Figure 5: Average differences in soil organic carbon stocks between the three land uses for each soil layer. Significant differences between land uses are indicated for each soil layer using letters: a soil layer marked with 'a' differs significantly from the same layer in another land use marked with 'b', but not from 'a' or 'ab'; bold numbers represent the total soil carbon stock.

Figure 7: (a) Average biomass carbon and soil carbon (0-30 cm depth) stocks per land use type. The error bars represent the standard error of the total carbon stocks. (b) Differences in total carbon stocks between *Jatropha* on the one hand and cropland and fallow on the other hand, or *Jatropha* carbon debts, presented as boxplots. Large dots represent outliers.

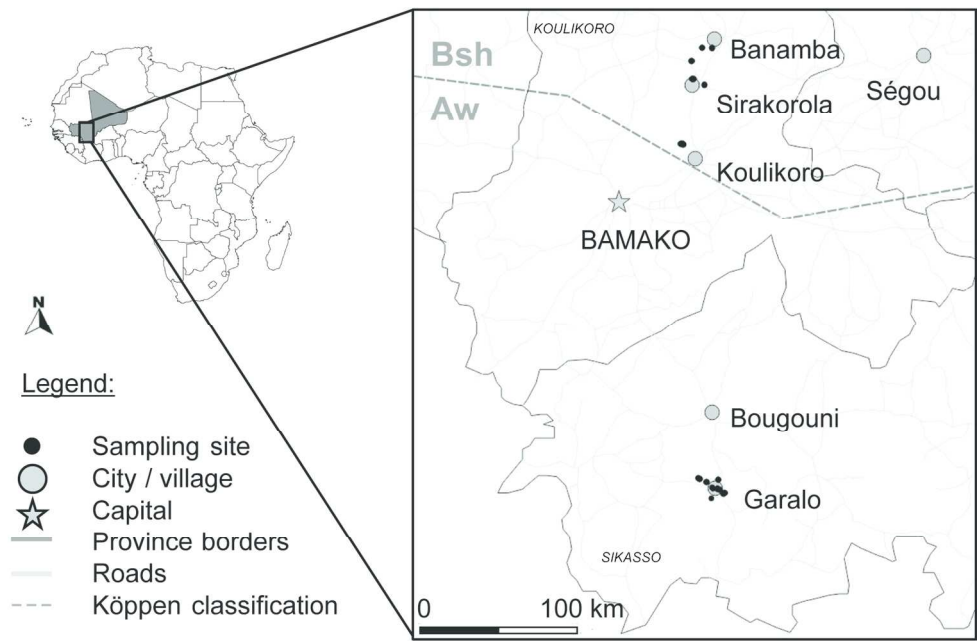


Figure 1: Location of study sites in relation to Köppen-Geiger climate classification. Bsh = Hot steppe climate and Aw = Tropical savannah climate.
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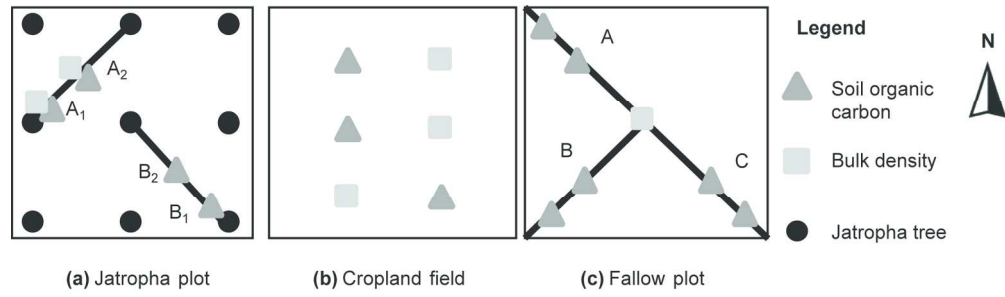


Figure 2: Soil sampling locations per land use type (letters represent sampling transects, while numbers refer to sampling locations).
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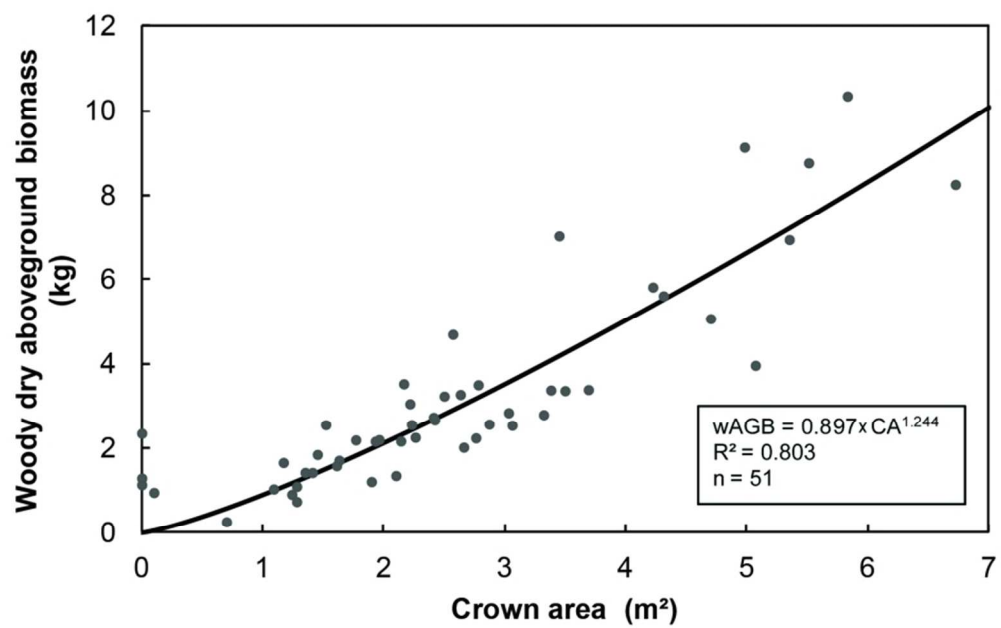


Figure 3: Allometric relation for woody dry aboveground biomass (wAGB) of individual *Jatropha* trees based on their crown area (CA).
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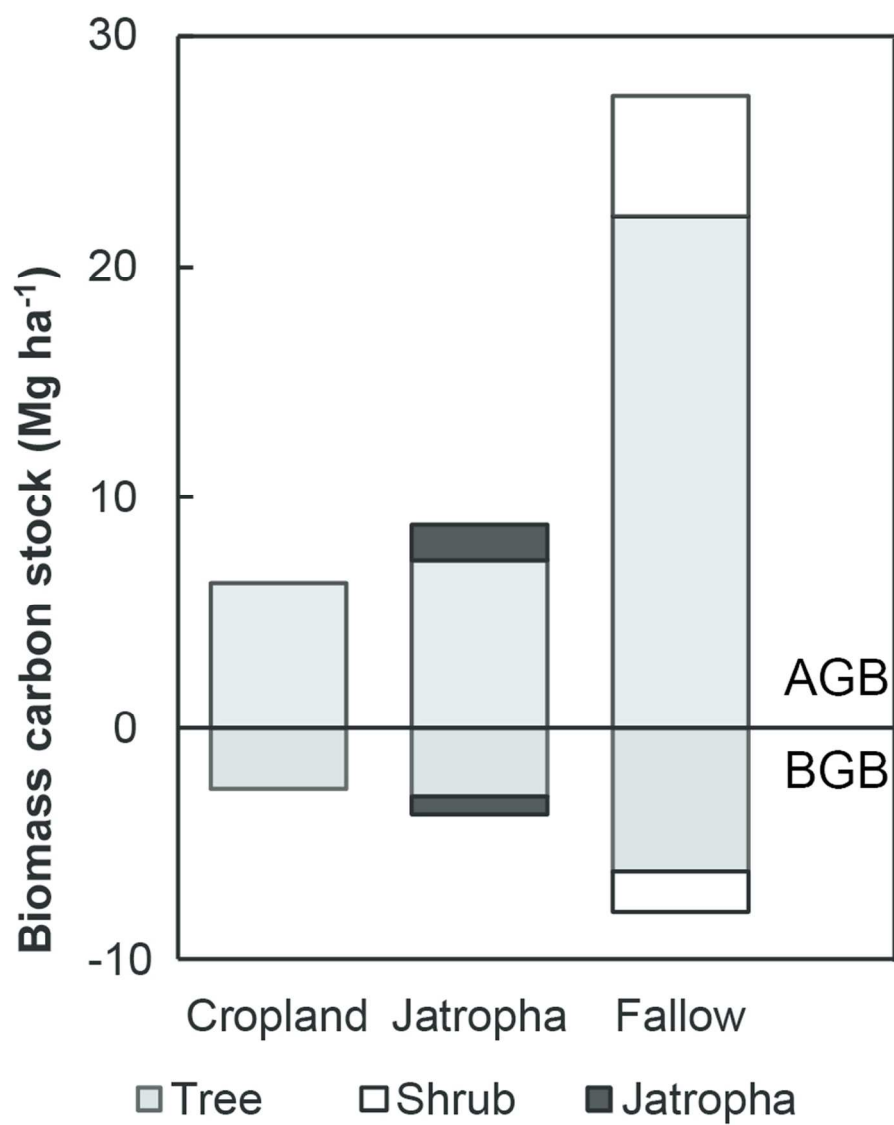


Figure 4: Partitioning of total biomass carbon stock between aboveground and belowground biomass (AGB and BGB, respectively) and between the different vegetation elements for each land use type. BGB is read below the x axis and AGB above it. The stacked bars represent the vegetation elements: trees, shrubs and Jatropha.

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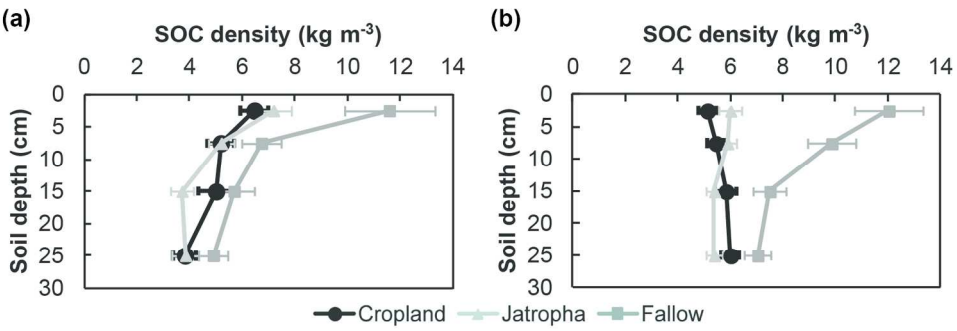


Figure 5: Relation of soil organic carbon (SOC) density with soil depth in cropland, Jatropa and fallow for the Garalo (a) and Koulikoro (b) ecoregions. The error bars represent standard error of the mean.
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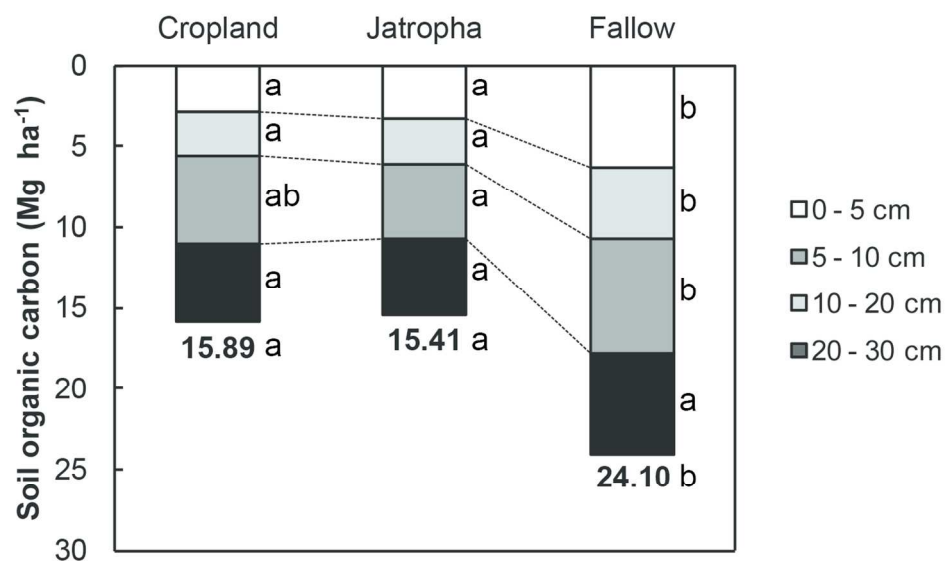


Figure 6: Average differences in soil organic carbon stocks between the three land uses for each soil layer. Significant differences between land uses are indicated for each soil layer using letters: a soil layer marked with 'a' differs significantly from the same layer in another land use marked with 'b', but not from 'a' or 'ab'; bold numbers represent the total soil carbon stock.

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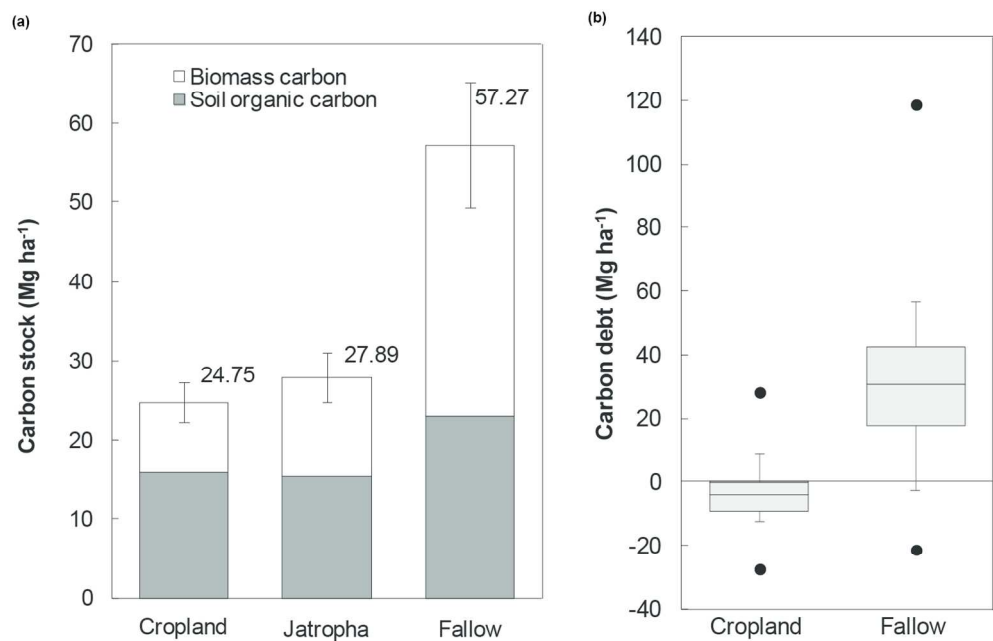


Figure 7: (a) Average biomass carbon and soil carbon (0-30 cm depth) stocks per land use type. The error bars represent the standard error of the total carbon stocks. (b) Differences in total carbon stocks between Jatropha on the one hand and cropland and fallow on the other hand, or Jatropha carbon debts, presented as boxplots. Large dots represent outliers.
134x87mm (300 x 300 DPI)